



**Harvard Law School Executive Education, the Program on International Financial
Systems (PIFS), and the Capital Markets Authority of Kuwait (CMA)**

2020 Program Course Outline

Modules:

Principles of Finance
Financial Markets and Institutions
International Financial Regulation
Corporate & Securities Law
FinTech

WEEK ONE:

Monday, March 2, 2020

9:00am – 10:00am: **Orientation Session**

10:00am – 12:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

1:00pm – 3:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

Tuesday, March 3, 2020

10:00am – 12:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

1:00pm – 3:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

Wednesday, March 4, 2020

9:30am – 12:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

12:00pm – 1:00pm: **Lunch with Dr. Brian Johnson**

1:00pm – 2:30pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

2:45pm – 4:45pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

Thursday, March 5, 2020

9:30am – 11:30am: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

12:30pm – 2:30pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

2:45pm – 4:45pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

Friday, March 6, 2020

10:00am – 12:00pm: **Lecture: Principles of Finance**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

WEEK TWO:

Monday, March 9, 2020

10:00am – 12:00pm: **Lecture: Financial Markets and Institutions**
Central Banks and the Financial Crisis
Professor Chris Brummer, Georgetown University School of Law

12:00pm – 2:00pm: **Lunch with Professor Chris Brummer**

2:00pm – 4:00pm: **Lecture: Financial Markets and Institutions**
Central Banks and the Financial Crisis
Professor Chris Brummer, Georgetown University School of Law

Tuesday, March 10, 2020

10:00am – 12:00pm: **Lecture: International Financial Regulation**
International Soft Law in Finance
Professor Chris Brummer, Georgetown University School of Law

1:00pm – 3:00pm: **Lecture: International Financial Regulation**
International Soft Law in Finance
Professor Chris Brummer, Georgetown University School of Law

3:00pm – 5:00pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

Wednesday, March 11, 2020

10:00am – 12:00pm: **Lecture: Financial Derivatives**
Professor Chris Brummer, Georgetown University School of Law

1:00pm – 3:00pm: **Lecture: Financial Derivatives**
Professor Chris Brummer, Georgetown University School of Law

3:00pm – 5:00pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

Thursday, March 12, 2020

9:45am – 11:45am: **Lecture: Brexit and Renminbi Systemic Risks**
Professor Chris Brummer, Georgetown University School of Law

12:45pm – 2:45pm: **Lecture: Brexit and Renminbi Systemic Risks**
Professor Chris Brummer, Georgetown University School of Law

2:45pm – 4:45pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

Friday, March 13, 2020

1:00pm – 3:00pm: **Tutorial: Corporate Law and Securities Law**
Corporate Governance
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

WEEK THREE:

Wednesday, March 18, 2020

1:00pm – 3:00pm: **Tutorial: Corporate Law and Securities Law**
Introduction to Securities Regulation
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

Thursday, March 19, 2020

4:00pm – 7:00pm: **Lecture: Corporate Law and Securities Law**
The Traditional Registration Process Under the Securities Act of 1933
Professor Allen Ferrell, Harvard Law School

Friday, March 20, 2020

4:00pm – 7:00pm: **Lecture: Corporate Law and Securities Law**
Gun-Jumping Issues with the Registration Process
Professor Allen Ferrell, Harvard Law School

WEEK FOUR:

Monday, March 23, 2020

4:00pm – 7:00pm: **Lecture: International Financial Regulation**
Enforcement
Professor Stavros Gadinis, UC Berkeley School of Law

Tuesday, March 24, 2020

4:00pm – 7:00pm: **Lecture: International Financial Regulation**
International Securities Offerings
Professor Stavros Gadinis, UC Berkeley School of Law

Wednesday, March 25, 2020

4:00pm – 7:00pm: **Lecture: International Financial Regulation**
*Compliance, The Foreign Corrupt Practices Act (FCPA)
and Anti-Money Laundering (AML)*
Professor Stavros Gadinis, UC Berkeley School of Law

Thursday, March 26, 2020

4:00pm – 7:00pm: **Lecture: International Financial Regulation**
European Capital Markets
Professor Stavros Gadinis, UC Berkeley School of Law

Friday, March 27, 2020

4:00pm – 7:00pm: **Lecture: International Financial Regulation**
European Capital Markets
Professor Stavros Gadinis, UC Berkeley School of Law

WEEK FIVE:

Monday, March 30, 2020

4:00pm – 5:30pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow

5:30pm – 8:00pm: **Lecture: Fintech Module**
Crowdfunding, Disclosure and Crowdfunding Platforms
Professor Chris Brummer, Georgetown University School of Law

Tuesday, March 31, 2020

4:00pm – 5:30pm: **Lecture: International Financial Regulation**
Securities Firms and Capital Markets: Part I
Professor Howell Jackson, James S. Reid Jr. Professor of Law, Harvard Law School

5:30pm – 8:00pm: **Lecture: Fintech Module**
Crowdfunding, Disclosure and Crowdfunding Platforms
Professor Chris Brummer, Georgetown University School of Law

Wednesday, April 1, 2020

4:00pm – 5:30pm: **Lecture: International Financial Regulation:**
Securities Firms and Capital Markets: Part II
Professor Howell Jackson, James S. Reid Jr. Professor of Law, Harvard Law School

5:30pm – 8:00pm: **Lecture: Fintech Module**
*Digital Assets and Blockchain Governance; Facebook's Libra
Cryptocurrency and ETFs*
Professor Chris Brummer, Georgetown University School of Law

Thursday, April 2, 2020

4:00pm – 6:30pm: **Lecture: Fintech Module**
Robo-Advisors
Professor Chris Brummer, Georgetown University School of Law

6:30pm – 8:00pm: **Tutorial: Securities and Corporate Law**
Corporate Governance
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

Friday, April 3, 2020

- 4:00pm – 6:00pm: **Lecture: Fintech Module**
Professor Chris Brummer, Georgetown University School of Law
- 6:00pm – 8:00pm: **Tutorial: Introduction to Securities Regulation**
Eugenio Briales, Teaching Fellow, PIFS, Harvard Law School
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WEEK SIX:

Monday, April 6, 2020

- 4:00pm – 6:00pm: **Tutorial: Principles of Finance**
Jeremy A. H. J Marcq, Teaching Fellow
- 6:00pm – 8:00pm: **Tutorial: FinTech**
Digital Finance
Eugenio Briales, Teaching Fellow, PIFS, Harvard Law School

Tuesday, April 7, 2020

- 4:00pm – 5:30pm: **Lecture: International Financial Regulation**
Mutual Funds and Other Investment Vehicles: Part I
Professor Howell Jackson, James S. Reid Jr. Professor of Law, Harvard Law School
- 6:00pm – 8:00pm: **Tutorial: FinTech Exercise**
Eugenio Briales, Teaching Fellow, PIFS, Harvard Law School

Wednesday, April 8, 2020

- 4:00pm – 5:30pm: **Lecture: International Financial Regulation**
Mutual Funds and Other Investment Vehicles: Part II
Professor Howell Jackson, James S. Reid Jr. Professor of Law, Harvard Law School
- 6:00pm – 8:00pm: **Lecture: Corporate Law and Securities Law**
Overview of Liability
Professor Allen Ferrell, Harvard Law School

Thursday, April 9, 2020

- 4:00pm – 6:00pm: **Tutorial International Financial Regulation**
*Dual-Class Structures and the Rise of Index Funds
and Shareholder Activism*
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School
- 6:00pm – 8:00pm: **Lecture: Corporate Law and Securities Law**
Introduction to Exemptions from the Securities Act of 1933: Part I
Professor Allen Ferrell, Harvard Law School

Friday, April 10, 2020

- 4:00pm – 7:00pm: **Lecture: Financial Markets & Institutions**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School
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WEEK SEVEN:

Monday, April 13, 2020

- 4:00pm – 6:00pm: **Tutorial: Financial Markets & Institutions**
Jeremy A. H. J Marcq, Teaching Fellow
- 6:00pm – 8:00pm: **Tutorial: International Financial Regulation**
Case Study: Alibaba Goes Public
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

Tuesday, April 14, 2020

- 4:00pm – 7:00pm: **Lecture: Financial Markets & Institutions**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

Wednesday, April 15, 2020

- 4:00pm – 5:00pm: **Tutorial: ESG**
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School
- 5:00pm – 7:00pm: **Lecture: Corporate Law and Securities Law**
Introduction to Exemptions from the Securities Act of 1933: Part II
Professor Allen Ferrell, Harvard Law School

Thursday, April 16, 2020

4:00pm – 7:00pm: **Lecture: Financial Markets & Institutions**
Brian Johnson, Research Fellow, PIFS, Harvard Law School

Friday, April 17, 2020

4:00pm – 7:00pm: **Lecture: Financial Markets & Institutions**
Dr. Brian Johnson, Research Fellow, PIFS, Harvard Law School

WEEK EIGHT:

Monday, April 20, 2020

4:00pm – 8:00pm: **Lecture: Personal and Professional Awareness**
Scott Westfahl, Director, HLS Executive Education

Tuesday, April 21, 2020

4:00pm – 8:00pm: **Lecture: Personal and Professional Awareness**
Scott Westfahl, Director, HLS Executive Education

Wednesday, April 22, 2020

4:00pm – 7:00pm: **Tutorial: Primer on Market Structure and High Frequency Trading**
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

Thursday, April 23, 2020

4:00pm – 7:00pm: **Tutorial: Family Firms and SME Governance and Capital Markets**
Eugenio Briaies, Teaching Fellow, PIFS, Harvard Law School

Friday, April 24, 2020

4:00pm – 8:00pm: **Final Group Presentations**



**HARVARD
LAW SCHOOL**
EXECUTIVE EDUCATION



Harvard Law School Executive Education, the Program on International Financial Systems (PIFS) and the Capital Markets Authority of Kuwait (CMA)

Instructors

Eugenio Briales

Teaching Fellow, PIFS, Harvard Law School

Professor Chris Brummer

Agnes N. Williams Research Professor; Faculty Director, Institute of International Economic Law; Professor of Law, Georgetown Law

Professor Allen Ferrell

Greenfield Professor of Securities Law, Harvard Law School

Professor Stavros Gadinis

Professor of Law, Berkeley Law, University of California

Professor Howell Jackson

James S. Reid, Jr., Professor of Law, Harvard Law School

Brian Johnson

Research Fellow, PIFS, Harvard Law School

Jeremy A. H. J Marcq

Teaching Fellow

Teaching Fellows

Eugenio Briales

Teaching Fellow, PIFS, Harvard Law School

Jeremy A. H. J Marcq

Teaching Fellow



**HARVARD
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Participants

Abdulaziz Dehar Alweqian
Trainee, Kuwait CMA

Altaf Saad AlOmar
Trainee, Kuwait CMA

Danah AlMesbah
Trainee, Kuwait CMA

Farah AlSager
Trainee, Kuwait CMA

Fatema AlKandari
Trainee, Kuwait CMA

Fawziya Al-Sulaiti
Trainee, Kuwait CMA

Ghalyah Al-Olayan
Trainee, Kuwait CMA

Haya Al-Askar
Trainee, Kuwait CMA

Khaled Talal AlSabah
Trainee, Kuwait CMA

Lulwa Basel Al Ghanim
Trainee, Kuwait CMA

Maryam AlHaroon
Trainee, Kuwait CMA

Maryam AlKandari
Trainee, Kuwait CMA

Maryam AlRays
Trainee, Kuwait CMA

Mohammad AlRasheed
Trainee, Kuwait CMA

Munera AlBarrak
Trainee, Kuwait CMA

Nour AlMudhaf
Trainee, Kuwait CMA

Omar AlSaeed
Trainee, Kuwait CMA

Sherifa AlGhanim
Trainee, Kuwait CMA

Shouq AlShaya
Trainee, Kuwait CMA

Zaid Alabduljaleel
Trainee, Kuwait CMA