



Virtual Training Program on Contemporary Issues in International Financial Regulation



August to December 2021

PROGRAM AGENDA:

Session 1

Thursday, Aug. 26, 2021
9:00am – 12:00pm (ET)

RegTech and Capital Markets

Lecture with [Douglas Arner](#), Kerry Holdings Professor in Law, University of Hong Kong, and Associate Director, HKU-Standard Chartered FinTech Academy

Guided Discussion with Participants

Session 2

Monday, Sept. 20, 2021
9:00am – 12:00pm (ET)

Regulatory Sandboxes and FinTechs

Lecture with [Douglas Arner](#), Kerry Holdings Professor in Law, University of Hong Kong, and Associate Director, HKU-Standard Chartered FinTech Academy

Guided Discussion with Participants

Session 3

Monday, Sept. 27, 2021
9:00am – 12:00pm (ET)

Trends in Capital Market Structure

Lecture with [James Angel](#), Associate Professor, McDonough School of Business at Georgetown University

Guided Discussion with Participants

Session 4a

Monday, Oct. 18, 2021
10:00am – 12:00pm (ET)

Development and Regulation of Corporate Debt Markets

Panel Discussion featuring:

Stephanie Dumont, Executive Vice President of Market Regulation and Transparency Services at the Financial Industry Regulatory Authority

Stephen Berger, Managing Director of Government and Regulatory Policy at Citadel

Toks Oyebode, an Executive Director of Regulatory Affairs at J.P. Morgan

Gary Stone, Chief Market Structure Officer in the Department of Regulatory and Policy Affairs at Bloomberg

Arnab Das, Global Market Strategist at Invesco

Guided Discussion with Participants

Session 5

*Monday, Oct. 25, 2021
9:00am – 12:00pm (ET)*

Trends in Corporate Governance and Shareholder Rights

Lecture with [Allen Ferrell](#), Harvey Greenfield Professor of Securities Law, Harvard Law School

Guided Discussion with Participants

Session 4b

*Thursday, Nov. 18, 2021
9:00am to 10:00am (ET)*

Development and Regulation of Corporate Debt Markets

Lecture with [Victoria Ivashina](#), Lovett-Learned Chaired Professor of Finance and Head of the Finance Unit, Harvard Business School

Session 6

*Friday, Nov. 19, 2021
10:00am – 1:00pm (ET)*

Social Media and Capital Markets Regulation

Lecture with [Howell Jackson](#), James S. Reid, Jr. Professor of Law, Harvard Law School, and [Joshua Mitts](#), Associate Professor of Law and Milton Handler Fellow, Columbia Law School

Guided Discussion with Participants

Session 7

*Monday, Nov. 29, 2021
9:00am to 12:00pm (ET)*

Recent Developments in International Financial Regulation

Lecture with [Katja Langenbacher](#), Chair of Civil Law, Business Law and Banking Law, House of Finance, Goethe University Frankfurt

Guided Discussion with Participants

Session 8

*Monday, Dec. 6, 2021
9:00 am – 12:00 pm (ET)*

ESG: Trends and Challenges in Capital Markets Regulation

Lecture with [Stavros Gadinis](#), Professor of Law, Berkeley Law

Panel Discussion featuring:

Linda French, Executive Director of ESG Policy and Regulation at J.P. Morgan

Rahul Ghosh, Managing Director of ESG Outreach & Research at Moody's Corporation

Rick Lacaille, Global Head of ESG at State Street Global Advisors

Lee White, Executive Director at the IFRS Foundation

Guided Discussion with Participants

August to December 2021

Participant List:

Adriano Augusto Costa Carnauba
*Enforcement Officer, Securities and Exchange
Commission of Brazil*

Alexandre Murray
*Securities and Exchange Commission of
Brazil*

Alexandre Pinheiro dos Santos
*Chief Operating Officer Securities and
Exchange Commission of Brazil*

Andrea Araujo Alves de Souza
*Chief Operating Office, Securities and
Exchange Commission of Brazil*

Antonio Carlos Berwanger
*Office of Market Regulation, Securities and
Exchange Commission of Brazil*

Bruno Barbosa de Luna
*Office of Economic and Risk Analysis,
Securities and Exchange Commission of
Brazil*

Carla Frazão Soares Piazza Gaglianone
*Institutional Investors Relations Division,
Securities and Exchange Commission of
Brazil*

Carlos Cesar Valentim Alves
*Office of Information Technology, Securities
and Exchange Commission of Brazil*

Carolina Machado Brasil
Central Bank of Brazil

Claudio Gonçalves Maes
*Office of Market Regulation, Securities and
Exchange Commission of Brazil*

Daniel Valadão de Sousa Gorgozinho
*Office of Planning and Budget, Securities and
Exchange Commission of Brazil*

Daniel Walter Maeda Bernardo
*Office of Institutional Investor Supervision,
Securities and Exchange Commission of
Brazil*

Danielle Oliveira Barbosa
*Office of the Chief Counselor, Securities and
Exchange Commission of Brazil*

Elaine Moreira Martins de La Rocque
*Securities and Exchange Commission of
Brazil*

**Virtual Training Program on
Contemporary Issues in
International Financial Regulation**



August to December 2021

Erica Bahiense

Chief Operating Officer, Securities and Exchange Commission of Brazil

Fabio Pinto Coelho

Office of Planning and Budget, Securities and Exchange Commission of Brazil

Fernando Lucchesi

Office of Public Company Supervision, Securities and Exchange Commission of Brazil

Francisco José Bastos Santos

Office of Market Surveillance, Securities and Exchange Commission of Brazil

Guilherme Azevedo Da Silva

Office of On-Site Inspections, Securities and Exchange Commission of Brazil

Gustavo Gori Maia

Office of Information Technology, Securities and Exchange Commission of Brazil

Jorge Alexandre Casara

Office of On-Site Inspections, Securities and Exchange Commission of Brazil

José Alexandre Cavalcanti Vasco

Office of Investor Protection and Assistance, Securities and Exchange Commission of Brazil

Juliana Moraes De Souza

Office of Market Regulation, Securities and Exchange Commission of Brazil

Luciana Najan Silva da Cruz

Office of Securities Registration, Securities and Exchange Commission of Brazil

Luis Miguel R Sono

Office of Securities Registration, Securities and Exchange Commission of Brazil

Marco Antonio Velloso de Sousa

Office of Institutional Investor Supervision, Securities and Exchange Commission of Brazil

Marcos Galileu Lorena Dutra

Office of Market Surveillance, Securities and Exchange Commission of Brazil

Marcus Vinicius de Carvalho

Central Bank of Brazil



**Virtual Training Program on
Contemporary Issues
in International Financial Regulation**



August to December 2021

Margareth Noda

*Office of Market Surveillance, Securities and
Exchange Commission of Brazil*

Michelle da Rocha Faria Correa

*Office of Securities Registration, Securities
and Exchange Commission of Brazil*

Paulo Roberto de Souza Trajano da Silva

*Office of Economic and Risk Analysis,
Securities and Exchange Commission of
Brazil*

Paulo Roberto Portinho de Carvalho

*Office of Public Company Supervision,
Securities and Exchange Commission of
Brazil*

Raphael Souza

*Office of Market Regulation, Securities and
Exchange Commission of Brazil*

Raquel Branquinho De Oliveira

*Office of Public Company Supervision,
Securities and Exchange Commission of
Brazil*

Raul de Campos Cordeiro

*Office of Securities Registration, Securities
and Exchange Commission of Brazil*

Renato Reis de Oliveira

*Office of Public Company Supervision,
Securities and Exchange Commission of
Brazil*

Victor de Almeida França

Superintendence of Private Insurance

Guilherme Tadiello

*Office of On-Site Inspections, Securities and
Exchange Commission of Brazil*